



महाराष्ट्र MAHARASHTRA

प्रधान मुद्रांक कार्यालय, मुंबई
प.सु. वि. क्र. ८००००११
25 MAR 2014
तकाल अधिकारी

परवाना क्रमांक ८००००११

वांद्रे कोर्टासमोर, अ. का. मार्ग,

वांद्रे (पूर्व), मुंबई - ५१.

श्री/श्रीमती/मेसर्स Phiroze Palkivala

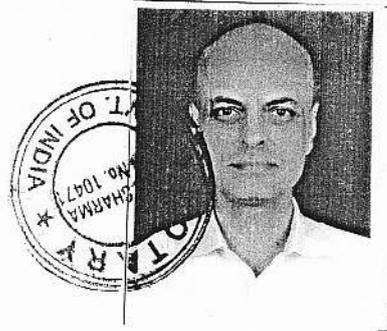
ग्रांना रू. न्यायोत्तर मुद्रांक पेपर विकला

KU 261731

श्री. अनिल नंदरकर

परवाना धारक मुद्रांक विक्रेत्या

FORM 26
(See rule 4A)



Affidavit to be filed by the candidate along with nomination paper before the returning officer for election to the Lok Sabha from the 29- Mumbai North Central parliamentary constituency.

PART-A

I, Phiroze Palkhivala, son of Behram Palkhivala, Aged 47 years, resident of 8 Breach Candy Gardens, Bhulabhai Desai Road, Mumbai 400026, a candidate at the above election, do hereby solemnly affirm and state on oath as under:-

I am a candidate set up by the Aam Aadmi Party.

My name is enrolled in The Malabar Hill constituency, 31 Mumbai South at Serial No 21 in Part No 103.

My contact telephone numbers are 9820811460/ 23670895 and my e-mail id is palkhivala@gmail.com

Details of Permanent Account Number (PAN) and status of filing of Income Tax return:

Sl. No.	Names	PAN	The financial year for which the last Income-tax return has been filed	Total income shown in Income-tax return (in Rupees)
1.	Self	AAFPP6200J	2012-2013	Rs. 35,45,765/-
2.	Spouse	AIDPP8290Q	2012-2013	Rs. 13,120/-
3.	Dependent-1	CMN PP 2343C	Nil	N/A
4.	Dependent-2	N/A	Nil	N/A

(5) I am /am not accused of any offence(s) punishable with imprisonment for two years or more in a pending case(s) in which a charge (s) has/have been framed by the court(s) of competent jurisdiction.

If the deponent is accused of any such offence(s) he shall furnish the following information:- (i) The following case(s) is /are pending against me in which charges have

been framed by

the court for an offence punishable with imprisonment for two years or more :-

(a)	Case/First Information Report No./ Nos. together with complete details of concerned Police Station/District/State	Nil
(b)	Section(s) of the concerned Act(s) and short description of the offence(s) for which charged	Nil
(c)	Name of the Court, Case No. and date of order taking cognizance:	Nil
(d)	Court(s) which framed the charge(s)	Nil
(e)	Date(s) on which the charge(s) was/were framed	Nil

(f) Whether all or any of the proceedings(s) have been stayed by any Court(s) of competent jurisdiction	Nil
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SHARMA
Mumbai
Maharashtra
Sgd. No. (1047)



The following case(s) is /are pending against me in which cognizance has been taken by the court [other than the cases mentioned in item (i) above]:-

(a) Name of the Court, Case No. and date of order taking cognizance:	Nil
(b) The details of cases where the court has taken cognizance, section(s) of the Act(s) and description of the offence(s) for which cognizance taken	Nil
(c) Details of Appeal(s)/Application(s) for revision (if any) filed against the above order(s)	Nil

(6) I have been/have not been convicted, of an offence(s) [other than any offence (s) referred to in sub-section (1) or sub-section (2), or covered in sub-section (3), of section 8 of the Representation of the People Act, 1951 (43 of 1951)] and sentenced to imprisonment for one year or more.

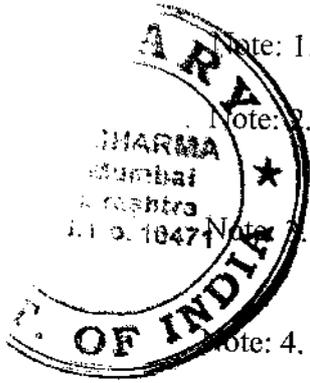
If the deponent is convicted and punished as aforesaid, he shall furnish the following information:

In the following case, I have been convicted and sentenced to imprisonment by a court of law:

(a) The Details of cases, section(s) of the concerned Act(s) and description of the offence(s) for which convicted	Nil
(b) Name of the Court(s), Case No. and	Nil
(c) Punishment imposed	Nil
(d) Whether any appeal was/has been filed against the conviction order. If so, details and the present status of the appeal:	Nil

(7) That I give herein below the details of the assets (movable and immovable etc.) of myself, my spouse and all dependents:

A. Details of movable assets:



- Note: 1. Assets in joint name indicating the extent of joint ownership will also have to be given.
- Note: 2. In case of deposit/investment, the details including Serial Number, Amount, date of deposit, the scheme, Name of the Bank/Institution and Branch are to be given
- Note: 3. Value of Bonds/Share Debentures as per current market value in Stock exchange in respect of listed companies and as per books in case of non-listed companies should be given.
- Note: 4. Dependent here has the same meaning as assigned in Explanation (v) under section 75A of the Representation of the People Act, 1951.
- Note: 5. Details including amount is to be given separately in respect of each investment.

Sl. No.	Description	Self	Spouse	Dependent-1	Dependent-2
(i)	Cash in hand	Rs. 20,000/-	Rs.8,000	Rs. 2,000	Rs.1,500
(ii)	Details of deposit in Bank accounts(FDRs, Term Deposits and all other types of deposits including saving accounts), Deposits with Financial Institutions, Non-Banking Financial Companies and Cooperative societies and the amount in each such deposit	HSBC Acc 002788610006 Balance: Rs. 8,27,624.07 HSBC Acc 002159010006 Rs. 2,280/- HDFC Acc 00601300005181 Balance: Rs. 6,35,926.31	Standard Chartered Bank Acc. 24110046563 Balance: Rs. 3,25,502/-	Bank of India Acc. SB 9851 Balance: Rs. 9,11,151.48	Bank of India Acc. SB 11667 Balance: Rs. 1,12,883.48 PPF Acc. 924 Balance: Rs. 3,71,697/-
(iii)	Details of investment in Bonds, debentures/shares and units in companies/Mutual funds and others and the amount	Please see Statement 1 attached.	Please see Statement 2 attached.	Templeton India Equity Income Fund. Account: 2249902469832. 9661 units. Present value: Rs. 2,41,505/-	Templeton India Equity Income Fund. Account: 2249902469832. 9661 units. Present value: Rs. 2,41,505/-
(iv)	Details of investment in NSS, Postal Saving, Insurance policies and investment in any Financial instruments in Post office or Insurance Company and the amount	I have one insurance policy of Rs. 1 lac with LIC. Policy No. 890645197	Nil	Nil	Nil
(v)	Personal loans/advance given to any person or entity including firm, company, Trust etc., and other receivables from debtors and the amount	Nil	Nil	Nil	Nil



	Motor Vehicles/ Aircrafts/Yachts/Ships(D *Details of Make, registration number etc. Year of purchase and amount)	Tata Sumo (1995 model) Regn. No. MH-01-S3900. Purchased from my mother for about Rs. 2 lacs. 1972 Enfield Bullet motorcycle. Purchased second hand in 1991 for about Rs. 18,000/-. Total current value of vehicles taken as Rs. 1.5 lacs.	Hyundai i10 Regn. No. MH-01-AE- 4844. Gift from her grandfather in 2008. Present value taken as Rs. 2.5 lacs.	Nil	Nil
(vii)	Jewellery, bullion and valuable thing(s) (give details of weight and value)	Gold chain and ring with The Mother's symbol. Weight: 20gms Price: Rs. 50,000/	Diamond pendant: 12.4 gms. Rs. 70,000/- Diamond, gold and rodium earrings: 11.8 gms. Rs. 50,000/-. Twelve thin gold bangles: 34.8 gms + 1 thick gold bangle: 27 gms = 1 ring cum band: 3.9 gms= 65.7 gms. Rs. 1,51,110/-. Total value: Rs. 2,71,110/-	Nil	Nil
(viii)	Any other assets such as value of claims/interest	Nil	Nil	Nil	Nil
(ix)	Gross Total Value	93,47,371/-	1,56,49,612/-	11,52,656.48	6,13,202/-

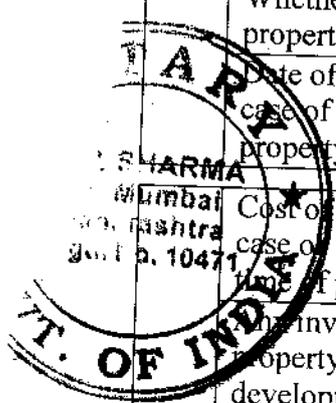
B. Details of Immovable Assets:

Note: 1. Properties in joint ownership indicating the extent of joint ownership will also have to be indicated

Note: 2. Each land or building or apartment should be mentioned separately in this format

No.	Description	Self	Spouse	Dependent-1	Dependent-2
(i)	Agricultural Land Location(s) Survey number(s)	Nil	Nil	Nil	Nil
	Area (total measurement in acres)	Nil	Nil	Nil	Nil
	Whether inherited property (Yes or No)	Nil	N/A	N/A	N/A

	Date of purchase in case of self-acquired property	N/A	N/A	N/A	N/A
	Cost of Land (in case of purchase) at the time of purchase	N/A	N/A	N/A	N/A
	Any investment on the land by way of development, construction etc.	N/A	N/A	N/A	N/A
	Approximate current market value	N/A	N/A	N/A	N/A
(ii)	<u>Non-Agricultural Land:</u> -Location(s) -Survey number(s)	Nil	Nil	Nil	Nil
	Area (total measurement in sq.ft.)	Nil	Nil	Nil	Nil
	Whether inherited property (Yes or No)	N/A	N/A	N/A	N/A
	Date of purchase in case of self-acquired property	N/A	N/A	N/A	N/A
	Cost of Land (in case of purchase) at the time of purchase	Nil	Nil	Nil	Nil
	Any investment on the land by way of development, construction etc.	Nil	Nil	Nil	Nil
	Approximate current market value	Nil	Nil	Nil	Nil
(iii)	Commercial Buildings (including apartments)- Locations(s) -Survey number(s)	Nil	Nil	Nil	Nil
	Area (total measurement in sq.ft.)	N/A	N/A	N/A	N/A
	Built-up Area (total measurement in sq.ft.)	N/A	N/A	N/A	N/A



	Whether inherited property (Yes or No)	N/A	N/A	N/A	N/A
	Date of purchase in case of self-acquired property	N/A	N/A	N/A	N/A
	Cost of property (in case of purchase) at the time of purchase	N/A	N/A	N/A	N/A
	Any investment on the property by way of development, construction etc.	N/A	N/A	N/A	N/A
	Approximate current market value	N/A	N/A	N/A	N/A
(iv)	Residential Buildings (including apartments):- -Location(s) -Survey number(s)	1/3 undivided share in a flat at 33A, Sterling Apartments, 38 Dr G.Deshmukh Marg, Mumbai 400026 in which my father is a tenant.	1. Tenanted flat at 8, Breach Candy Gardens, Bhulabhai Desai Road, Mumbai 400026 2. Half share presently valued at nil in flat no. 506, Cumballa Crest, G. Deshmukh Marg, Mumbai 400026 subject to restrictions mentioned below.	Nil	Nil
	Area (total measurement in sq.ft.)	2372 square feet	1. About 2000 sq. ft. 2. About 1300 sq ft	N/A	N/A
	Built-up Area (total measurement in sq.ft.)	Not known	Not known	N/A	N/A
	Whether inherited property (Yes or No)	No.	1. Tenancy transferred after demise of grandparents. 2. Yes, inherited from maternal grandfather.	N/A	N/A
	Date of purchase in case of self-acquired property	1970	N/A	N/A	N/A
	Cost of property (in case of purchase) at the time of purchase	Rs. 2,57,712/-	N/A	N/A	N/A
	Any investment on the property by way of development, construction etc.	Nil	Nil	N/A	N/A



	Approximate current market value	The current market value of the flat is approximately Rs. 24 crores. My 1/3 share is therefore Rs. 8 crores. However, my parents, and brother's family reside therein, and I do not. My father is a tenant in the said flat, and therefore the value of my interest therein is currently practically nil. However, in view of my being a 1/3 owner, I take the asset value as 10% of the value of my share therein, amounting to Rs. 80 lacs.	1.N/A since it is a statutory tenancy. 2.The market value of the flat is approximately Rs. 10 crores. However, the will under which the flat was bequeathed to her uncle and her in equal shares, provides for an absolute right for her uncle and aunt to stay in the said flat for their lives, and she has no right of occupation or power to sell as long as they stay or do not wish to sell. Sale can only be with the written consent of her uncle. Thus, at present, the value of her share in the said flat is nil.	N/A	N/A
(v)	Others (such as interest in property)	Nil	Nil	Nil	Nil
(vi)	Total of current market value of (i) to (v) above	About Rs.80 lacs.	Nil	Nil	Nil

(8) I give herein below the details of liabilities/dues to public financial institutions and government:-

(Note: please give separate details of name of bank, institution, entity or individual and amount before each item)

S.No	Description	Self	Spouse	Dependent-1	Dependent-2
(i)	Loan or dues to Bank/financial institution(s) Name of the Bank of financial institution, Amount outstanding, Nature of Loan	Nil	Nil	Nil	Nil
	Loan or dues to any other individuals/entity other than mentioned above Name(s), Amount outstanding, nature of loan	Nil	Nil	Nil	Nil

	Other liability	Nil	Nil	Nil	Nil
	Grand total of liabilities	Nil	Nil	Nil	Nil
(i)	Government dues:	Nil	Nil	Nil	Nil
	Dues to departments dealing with Government accommodation	Nil	Nil	Nil	Nil
	Dues to department dealing with supply of water	Nil	Nil	Nil	Nil
	Dues to department dealing with supply of electricity	Nil	Nil	Nil	Nil
	Dues to department dealing with supply of telephone/mobiles	Nil	Nil	Nil	Nil
	Dues to department dealing with government transport (including aircrafts and helicopters)	Nil	Nil	Nil	Nil
	Income tax dues	Nil	Nil	Nil	Nil
	Wealth tax dues	Nil	Nil	Nil	Nil
	Service tax dues	Nil	Nil	Nil	Nil
	Municipal/Property Tax Dues	Nil	Nil	Nil	Nil
	Sales tax dues	Nil	Nil	Nil	Nil
	Any other dues	Nil	Nil	Nil	Nil
(iii)	Grand total of all Government dues	Nil	Nil	Nil	Nil
(iv)	Whether any other liabilities are in dispute, if so, mention the amount involved and the authority before which it is pending	Nil	Nil	Nil	Nil

(9) Details of profession or occupation:

(a) Self : Advocate, High Court, Mumbai

(b) Spouse : House wife

(10) My educational qualification is as under:-



Bachelor of Arts (BA) from Elphinstone College, Mumbai in 1988
 LLB from Government Law College, Mumbai in 1991

PART -B

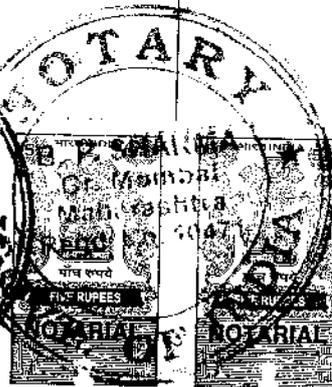
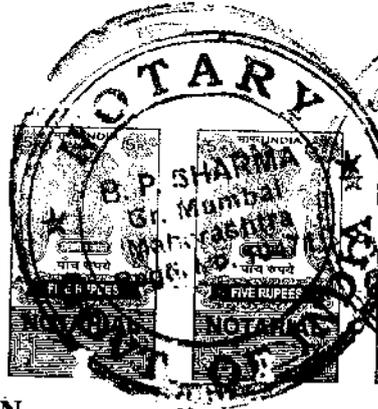
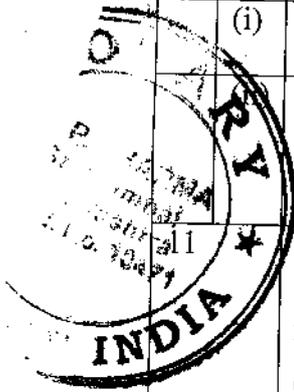
(11) ABSTRACT OF THE DETAILS GIVEN IN (1) TO (10) OF PART-A:

1.	Name of the Candidate	Shri Phiroze Palkhivala		
2.	Full postal address	8, Breach Candy Gardens, Bhulabhai Desai Road, Mumbai 400026		
3.	Number and name of the constituency and State	31 Mumbai South, Maharashtra		
4.	Name of the political party which set up the candidate.	Aam Admi Party		
5.	(i) Total number of pending cases where charges have been framed by the Court for offences punishable with imprisonment for two years or more	Nil		
	(ii) Total number of pending cases where the court(s) have taken cognizance [other than the cases mentioned in item (i) above]	Nil		
6.	Total number of cases in which convicted and sentenced to imprisonment for one year or more [except for offences referred to in sub-sections(1),(2) or (3) of section 8 of Representation of the People Act, 1951]	Nil		
7.		PAN No.	Year for which last income	Total income Shown
	(a) Candidate	AAF PP 6200J	2012- 2013	Rs, 35,45,765/
	(b) Spouse	AIDPP8290Q	2012-2013	Rs. 13,120/-
	(c) Dependents	CMN PP 2343C	N/A	N/A
8.	Details of Assets and Liabilities in Rupees			

	Description	Self	Spouse	Dependent-I	Dependent-II	Depende
	Moveable Assets	93,47,371/-	1,56,49,612/-	11,52,656.48	6,13,202/-	N/A
	Immovable Asset	80,00,000/-	Nil	Nil	Nil	N/A
	Purchase price of self-acquired immovable property	2,57,712/-	Nil	Nil	Nil	N/A
II	Development / construction cost of immovable property after purchase (if applicable)	Nil	Nil	Nil	Nil	N/A
III	Approximate Current market price of -	Nil	Nil	Nil	Nil	N/A
	(a) self acquired assets (Total value)	80,00,000	(b) Nil	Nil	Nil	N/A
	(b) inherited assets (Total value)					
	Liabilities		L			
(i)	Government dues (Total)	Nil	Nil	Nil	Nil	N/A
(ii)	Loans from Bank, Financial Institutions and	Nil	Nil	Nil	Nil	N/A



		others (Total)				
10		Liabilities that are under dispute				
	(i)	Government dues (Total)	Nil	Nil	Nil	N/A
		Loans from Bank, Financial Institutions and others (Total)	Nil	Nil	Nil	N/A
		Highest educational qualifications:- BA from Elphinstone College, Mumbai University (1988) LLB from Government Law College, Mumbai (1991)				

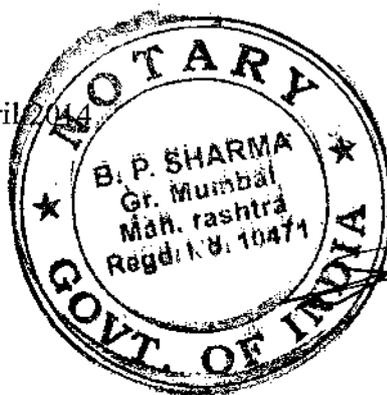


VERIFICATION

I, the deponent, above named, do hereby verify and declare that the contents of this affidavit are true and correct to the best of my knowledge and belief and no part of it is false and nothing material has been concealed therefrom. I further declare that:-

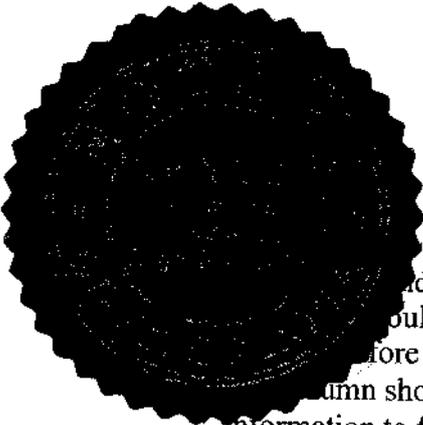
- (a) there is no case of conviction or pending case against me other than those mentioned in items 5 and 6 Part A and B above;
- (b) I, my spouse, or my dependents do not have any asset or liability, other than those mentioned in item 7 and 8 of items 8,9 and 10 of Part B above.

Verified at Mumbai this the ^{4th} 3rd Day of April 2014



B. P. Sharma

DEPONENT



... should be filed latest by 3.00 PM on the last day of filing Nominations.
... should be sworn before an Oath Commissioner or Magistrate of the First
... before a Notary Public.

... column should be filled up and no column to be left blank. If there is no information to furnish in respect of any item, either "Nil" or "Not applicable", as the case may be, should be mentioned.

Note:4. The Affidavit should be either typed or written legibly and neatly."

Register Sr. No. - M-506/2014
Dated - 04 APR. 2014

BEFORE ME
B. P. Sharma
B. P. SHARMA
B.Sc. LL.B.
NOTARY GR. MUMBAI
MAHARASHTRA
(Govt. of India).

Note. – The Principal rules were published *vide* Notifications number S.O. 859, dated the 15th April 1961 and last amended *vide* notifications:--

- 1) Number S.O. 728 (E), dated the 8th May 2007.
- 2) Number S.O. 425(E), dated 23rd February 2011.

Note 5. – In Pursuance of the Judgment dated 13th September 2013 of the Hon'ble Supreme Court in WP(C) No. 121 of 2008 Resurgence of India V/s. Election Commission of India and other, regarding the filing of incomplete affidavit of candidates, the candidates are required to fill up all columns therein. No column can be left blank. At the time of filling affidavit, RO has to check whether all columns of the affidavits filed with the nomination paper are filled up. If not, the RO shall give a reminder to the candidate to furnish against blank columns. The Hon'ble court has held that if there is no information to be furnished against any item, appropriate remark such as 'Nil' or 'Not Applicable' or 'Not Known' as may be applicable shall be indicated in such column. They should not leave any column blank if a candidate fails to fill the blank even after reminder, the nomination paper will be liable to be rejected by the RO at the time of scrutiny of nomination papers.

Note 6. - In para 3 of the affidavit, the information should be furnished as follows:-

“My contact telephone No. (s) is/are
my email ID (if any) is
and my social media accounts (if any) are ”

STATEMENT-1

PHIROZE PALKHIVALA

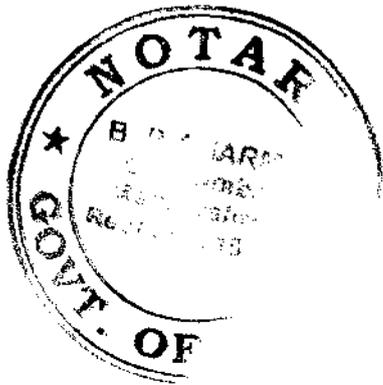
MUTUAL FUNDS

SCHEME NAME	FOLIO NUMBER	PURCHASE PRICE	PRESENT NAV	CURRENT VALUE (ROUNDED OFF)
BIRLA SUNLIFE MEDIUM TERM PLAN-GROWTH-REGULAR PLAN	1016048305	5,00,000	15.23	5,88,000
CANARA ROBECO EQUITY TAX SAVER DIVIDEND FUND	1056949642	2,00,000	19.45	2,27,000
DSP BLACKROCK SMALL AND MIDCAP FUND-REGULAR PLAN-DIVIDEND	640277/60	1,30,000	13.80	1,71,000
HDFC BALANCED FUND-DIVIDEND	67560/50	50,000	20.36	1,02,000
HDFC CAPITAL BUILDER FUND-DIVIDEND	644279-82	1,00,000	21.28	1,01,000
HDFC GROWTH FUND-DIVIDEND	67560/50	50,000	24.81	1,24,000
HSBC FLEXI DEBT FUND-REGULAR GROWTH	1140810/21	5,00,000	16.53	5,55,000
SUNDARAM FINANCIAL SERVICES-OPP. REGULAR-GROWTH	SBBNAC708500	1,00,000	19.95	1,95,000
FRANKLIN INDIA FLEXI CAP FUND-DIVIDEND	2009901157608	25,00,000	12.69	27,76,000
TEMPLETON INDIA CORPORATE BOND OPPORTUNITIES FUND-GROWTH	4069904889483	20,00,000	12.60	23,24,000
TEMPLETON INDIA EQUITY INCOME FUND-DIVIDEND	2249902380091	2,00,000	12.60	2,51,000
TOTAL				74,14,000

DETAILS OF EQUITY SHARES

COMPANY NAME AND PRESENT VALUE		PURCHASE PRICE
Bharat Strips (100)	25.00	1450.00
Global Trust Bank (3000)	-	85,800.00
National Steel Ltd. (700)	10,010.00	18,131.00
Shetkari Solvents (3000)	-	42,000.00
Shree Kailash Sugar (100)	-	1,000.00
Teem Laboratories (341)	-	4,441.00

Ceat Financial Service-Preff Shares (The above shares have become practically defunct.)	684	30,000.00
UTI Master Shares (7140)	1,95,038.00	73,600.00
Multi commodity Exchange (I) Ltd (80)	<u>41,784</u>	<u>86,741.92</u>
	<u>2,47,541.00</u>	<u>256,422.00</u>



STATEMENT 2

FERRIEL PALKHIVALA- SHARES

NAME OF COMPANY	NO. OF SHARES	MARKET VALUE (ROUNDED OFF)
ACC	4137	45,87,000/-
FORBES & CO	120	65,000/-
GOKAK TEXTILES	60	2,000/-
ITC	360	1,16,000/-
KINETIC ENGINEERING	64	2,000/-
TATA MOTORS	800	3,01,000/-
TATA STEEL	6511	27,62,000/-
BOMBAY DYEING	1650	1,25,000/-
TITAN COMPANY LTD	29400	67,47,000/-
TRF	600	85,000/-

TOTAL VALUE: Rs. 1,47,95,000/-

